

PTO/SB/21 (04-04)

Approved for use through 07/31/2006 OMB 0851-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/685,940	RECEIVED CENTRAL FAX CENTER FEB 01 2005
		Filing Date	October 10, 2000	
		First Named Inventor	Shi Kun HUANG	
		Art Unit	1615	
		Examiner Name	Kishore	
Total Number of Pages in This Submission	10	Attorney Docket Number	5325-0166.30	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Enclosed: 1. Transmittal Letter - this document (1 page); 2. Request for One-Month Extension of Time (1 page) 3. Amendment Under 35 U.S.C. 1.111 (8 pages)		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Judy Mohr; Alza Corporation	
Signature	<i>Judy Mohr</i>	
Date	1 February 2005	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. VIA FACSIMILE (703) 872-9306		
Typed or printed name	Lois E. Miller	
Signature	<i>Lois E. Miller</i>	Date Feb 2, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

I hereby certify that, on the date shown below, this correspondence is being:

- ☐ deposited with the United States Postal Service in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,
☐ under 37CFR § 1.8(a), with sufficient postage as first class mail, or
☐ under 37CFR § 1.10, as "Express Mail Post Office to Addressee" Mailing Label No.

☒ transmitted by facsimile to the Patent and Trademark Office, Fax Number (703) 872-9306

Date: Feb 2, 2005 By: [Signature]

Lois E. Miller

PATENT

Atty. Docket No. **RECEIVED**
5325-0166.30 **CENTRAL FAX CENTER**
(SQ00166R1)

FEB 01 2005

CN 27777

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Huang *et al.*

APPLICATION NO.: 09/685,940

FILED: October 10, 2000

FOR: NEUTRAL-CATIONIC LIPID FOR GENE AND
DRUG DELIVERY

EXAMINER: Kishore

ART UNIT: 1615

CONFIRMATION NO: 6690

Amendment Under 35 U.S.C. § 1.111

Commissioner for Patents
P.O. Box 1450
Alexandria, CA 22313-1450

Sir:

This paper is in response to the Office action dated October 1, 2004. Applicants petition for a one-month extension of time in which to file the response. A separate petition accompanies this paper.

Amendments to the Claims are reflected in the listing of claims beginning on page 2.

Amendments to the Specification begin on page 5.

Remarks begin on page 6.

USSN: 09/685,940, Filed October 10, 2000
Response to Office Action dated October 1, 2004

Page 1 of 8